

# A note on the Moore-Penrose inverse of a companion matrix

Wang Long    Chen Jianlong

(Department of Mathematics, Southeast University, Nanjing 211189, China)

**Abstract:** Let  $R$  be an associative ring with unity 1. The existence of the Moore-Penrose inverses of block matrices over  $R$  is investigated and the sufficient and necessary conditions for such existence are obtained. Furthermore, the representation of the Moore-Penrose inverse of  $M = \begin{bmatrix} \mathbf{0} & A \\ C & B \end{bmatrix}$  is given under the condition of  $EBF = \mathbf{0}$ , where  $E = I - CC^\dagger$  and  $F = I - A^\dagger A$ . This result generalizes the representation of the Moore-Penrose inverse of the companion matrix  $M = \begin{bmatrix} \mathbf{0} & a \\ I_n & b \end{bmatrix}$  due to Pedro Patrício. As for applications, some examples are given to illustrate the obtained results.

**Key words:** companion matrix; Moore-Penrose inverse; ring

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Let  $R$  be an associative ring with unity 1 and involution  $*$ , which is an anti-automorphism of degree 2 in  $R$ :  $(x^*)^* = x$ ,  $(x+y)^* = x^* + y^*$  and  $(xy)^* = y^* x^*$  for all  $x, y \in R$ .

An element  $a \in R$  is said to be regular if there is an element  $a^-$  of  $R$  such that  $aa^-a = a$ , or equivalently  $axa = a$  is a ring consistent equation. In this case,  $a^-$  is called a  $\{1\}$ -inverse of  $a$ . Let  $M_{m \times n}(R)$  denote the ring of all  $m \times n$  matrices over  $R$ . We denote  $M_{n \times n}(R)$ ,  $M_{n \times 1}(R)$  and  $M_{1 \times n}(R)$  by  $M_n(R)$ ,  $R^n$  and  $R^{(n)}$ , respectively. A matrix  $A$  is said to be Moore-Penrose invertible with respect to  $*$ , if there is  $A^\dagger$  such that

$$AA^\dagger A = A, A^\dagger AA^\dagger = A^\dagger, (AA^\dagger)^* = AA^\dagger, (A^\dagger A)^* = A^\dagger A$$

It is well known that the Moore-Penrose inverse is unique if it exists.

The existence and representations of the Moore-Penrose inverse (MP-inverse) of matrices over various settings have been considered by several scholars<sup>[1-4]</sup>. Recently, Hartwig and Patrício<sup>[5]</sup> obtained new expressions for the

MP-inverse of the matrix  $\begin{bmatrix} a & 0 \\ b & d \end{bmatrix}$  over a  $*$ -regular ring.

Zhu et al.<sup>[6]</sup> investigated the MP-inverse of  $M = \begin{bmatrix} a & c \\ b & d \end{bmatrix}$  over a  $*$ -regular ring satisfying  $SC_2$ . It is well-known that  $R$  is a  $*$ -regular ring if and only if all the elements in  $R$  are MP-invertible, and that  $M_2(R)$  is a  $*$ -regular ring if and only if  $R$  is a regular  $*$ -ring satisfying  $SC_2$ <sup>[5]</sup>. That is to say, in this case, there is always the MP-inverse of  $A \in M_2(R)$  over a  $*$ -regular ring. In Ref. [7], the conditions for the existence of the MP-inverse of the  $(n+1) \times (n+1)$  companion matrix in the form  $M = \begin{bmatrix} \mathbf{0} & a \\ I_n & b \end{bmatrix}$  over an arbitrary ring are considered and the formulae of  $M^\dagger$  is established. This paper is to present some equivalent conditions concerning the existence of MP-inverse of block matrices over an arbitrary ring. In what follows, we use the symbols  $R(A) = \{Ax \mid x \in R^n\}$  and  $R_r(A) = \{xA \mid x \in R^{(n)}\}$  to denote the range of  $A$  and the row range of  $A$ , respectively.

## 1 Main Results

**Lemma 1**<sup>[7]</sup> Let  $a \in R$  be a regular element, and  $a^-$  be a regular inverse of  $a$ . The following conditions are equivalent:

- 1)  $a$  is Moore-Penrose invertible;
- 2)  $u = aa^* + 1 - aa^-$  is a unit. Moreover,  $(a^\dagger)^* = u^{-1}a$ .

**Lemma 2** Suppose that  $A \in M_n(R)$  and  $A$  is regular, then the following are equivalent:

- 1)  $A$  is Moore-Penrose invertible;
- 2)  $R(A) = R(AA^*)$  and  $R_r(A) = R_r(A^*A)$ ;
- 3) Matrix equations  $A = AA^*X$  and  $YA^*A = A$  have solutions over  $M_n(R)$ .

Furthermore, if  $A$  is Moore-Penrose invertible, then  $A^\dagger = A^*XY^*(AA^-)^*$ , where  $X, Y$  are the corresponding solution sets of the matrix equations in 3).

**Proof** 2)  $\Leftrightarrow$  3) It is clear. Thus we only need to prove 1)  $\Leftrightarrow$  2).

2)  $\Rightarrow$  1). By hypothesis, there exist  $X, Y$  such that  $A = AA^*X$  and  $YA^*A = A$ . Then  $A = A(A^*AY^*)X$  and  $A = Y(X^*AA^*)A$ . This implies that  $R(A) = R(AA^*A)$  and  $R_r(A) = R_r(AA^*A)$ . Thus,  $A$  is Moore-Penrose invertible (see Ref. [8]).

1)  $\Rightarrow$  2). It is well known that  $A^\dagger$  exists if and only if  $R(A) = R(AA^*A)$  and  $R_r(A) = R_r(AA^*A)$ . So we

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**Biographies:** Wang Long (1988—), male, graduate; Chen Jianlong (corresponding author), male, doctor, professor, jlchen@seu.edu.cn.

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obtain  $R(A) = R(AA^*)$  and  $R_r(A) = R_r(A^*A)$ .

By Ref. [8], we have that  $v = AA^* + I - AA^-$  is a unit of  $M_n(R)$ . Also,  $v^{-1} = AA^- (YX^*) AA^- + I - AA^- (YX^*) AA^*$ . It is simple to check that  $A^\dagger = A^*XY^* (AA^-)^*$ .

**Proposition 1** Suppose that  $M = \begin{bmatrix} 0 & A \\ B & BCA \end{bmatrix}$  such that  $A, B$  are regular. Then  $M$  is Moore-Penrose invertible if and only if  $A$  and  $B$  are both Moore-Penrose invertible.

**Proof** We give the decomposition of  $M$  as follows:

$$M = \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix} \begin{bmatrix} I & CA \\ 0 & I \end{bmatrix} = NQ \quad (1)$$

and

$$M = \begin{bmatrix} I & 0 \\ BC & I \end{bmatrix} \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix} = PN \quad (2)$$

By Ref. [9], it is simple to determine that  $M$  is regular. According to Eqs. (1) and (2), it follows that

$$M^*M = \begin{bmatrix} I & 0 \\ (CA)^* & I \end{bmatrix} \begin{bmatrix} B^*B & 0 \\ 0 & A^*A \end{bmatrix} \begin{bmatrix} I & CA \\ 0 & I \end{bmatrix}$$

and

$$MM^* = \begin{bmatrix} I & 0 \\ BC & I \end{bmatrix} \begin{bmatrix} AA^* & 0 \\ 0 & BB^* \end{bmatrix} \begin{bmatrix} I & (BC)^* \\ 0 & I \end{bmatrix}$$

By Lemma 2,  $M$  is Moore-Penrose invertible if and only if  $MM^*X = M$  and  $YM^*M = M$  are consistent.

$$\text{Let } X = \begin{bmatrix} I & -(BC)^* \\ 0 & I \end{bmatrix} \begin{bmatrix} x_1 & x_2 \\ x_3 & x_4 \end{bmatrix}.$$

$$\text{From } \begin{bmatrix} AA^* & 0 \\ 0 & BB^* \end{bmatrix} \begin{bmatrix} x_1 & x_2 \\ x_3 & x_4 \end{bmatrix} = \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix}, \text{ we have}$$

$$AA^*x_2 = A \quad (3)$$

$$BB^*x_4 = B \quad (4)$$

$$\text{Similarly, set } Y = \begin{bmatrix} y_1 & y_2 \\ y_3 & y_4 \end{bmatrix} \begin{bmatrix} I & 0 \\ -(CA)^* & I \end{bmatrix}.$$

From  $\begin{bmatrix} y_1 & y_2 \\ y_3 & y_4 \end{bmatrix} \begin{bmatrix} B^*B & 0 \\ 0 & A^*A \end{bmatrix} = \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix}$ , it follows that

$$y_2A^*A = A \quad (5)$$

$$y_3B^*B = B \quad (6)$$

In view of Eqs. (3) to (6), one can see that  $A, B$  are MP-invertible if and only if  $MM^*X = M$  and  $YM^*M = M$  are consistent, as desired.

In the following, we will characterize the MP-invertibility of  $M = \begin{bmatrix} 0 & A \\ C & B \end{bmatrix}$  under the condition of  $EBF = 0$ , where  $E = I - CC^\dagger$  and  $F = I - A^\dagger A$ .

**Theorem 1** Suppose that  $M = \begin{bmatrix} 0 & A \\ C & B \end{bmatrix}$  such that  $A^\dagger, C^\dagger$  exist and  $EBF = 0$ . Then  $M^\dagger$  exists if and only if  $u = I + C^\dagger BF(C^\dagger B)^*$  and  $v = I + (BA^\dagger)^*EBA^\dagger$  are invertible. If  $M$  is Moore-Penrose invertible, then

$$M^\dagger = \begin{bmatrix} M_1 & M_2 \\ M_3 & M_4 \end{bmatrix}$$

where

$$\begin{aligned} M_1 &= -u^{-1}C^\dagger BA^\dagger v^{-1} \\ M_2 &= u^{-1}C^\dagger [I - BA^\dagger v^{-1}(BA^\dagger)^*E] \\ M_3 &= TA^\dagger v^{-1} \\ M_4 &= TA^\dagger v^{-1}(BA^\dagger)^*E + F(C^\dagger B)^*u^{-1}C^\dagger \\ T &= I - F(C^\dagger B)^*u^{-1}C^\dagger B \end{aligned}$$

**Proof** Note that  $EBF = 0$  and  $M$  is regular according to Ref. [9]. We give the decomposition of  $M$  as follows:

$$M = \begin{bmatrix} I & 0 \\ EBA^\dagger & I \end{bmatrix} \begin{bmatrix} 0 & A \\ C & 0 \end{bmatrix} \begin{bmatrix} I & C^\dagger B \\ 0 & I \end{bmatrix}$$

$$\text{Let } Y = \begin{bmatrix} I & 0 \\ EBA^\dagger & I \end{bmatrix} \begin{bmatrix} y_1 & y_2 \\ y_3 & y_4 \end{bmatrix} \begin{bmatrix} I & 0 \\ -(C^\dagger B)^* & I \end{bmatrix}.$$

From  $YM^*M = M$ , we have

$$\begin{bmatrix} 0 & A \\ C & 0 \end{bmatrix} = \begin{bmatrix} y_1 & y_2 \\ y_3 & y_4 \end{bmatrix} \begin{bmatrix} C^*C & 0 \\ 0 & A^*A + (BA^\dagger)^*EBA^\dagger A \end{bmatrix} \quad (7)$$

So, we obtain

$$y_1C^*C = 0 \quad (8)$$

$$y_2[A^*A + (BA^\dagger)^*EBA^\dagger A] = A \quad (9)$$

$$y_3C^*C = C \quad (10)$$

$$y_4[A^*A + (BA^\dagger)^*EBA^\dagger A] = 0 \quad (11)$$

It is clear that Eqs. (8), (10) and (11) are always consistent. Also,  $y_2[A^*A + (BA^\dagger)^*EBA^\dagger A] = A$  is equivalent to  $y_2A^*[I + (BA^\dagger)^*EBA^\dagger] = AA^\dagger$ . Set  $v = I + (BA^\dagger)^*EBA^\dagger$ . It is simple to check that  $vAA^\dagger = AA^\dagger v$ . If  $v$  is invertible, then Eq. (9) is consistent, and  $y_2 = v^{-1}(A^\dagger)^*$  is a solution.

Conversely, suppose that Eq. (9) is consistent. From  $y_2A^*AA^\dagger vAA^\dagger = AA^\dagger$  and  $v^* = v$ , we obtain that  $R(AA^\dagger) = R(AA^\dagger vAA^\dagger)$  and  $R_r(AA^\dagger) = R_r(AA^\dagger vAA^\dagger)$ . By Ref. [8], we find that  $AA^\dagger v + I - AA^\dagger$  is invertible. Note that  $AA^\dagger v + I - AA^\dagger = v$ . Thus,  $v$  is invertible.

Similarly, we give the decomposition of  $M$  as follows:

$$M = \begin{bmatrix} I & 0 \\ BA^\dagger & I \end{bmatrix} \begin{bmatrix} 0 & A \\ C & 0 \end{bmatrix} \begin{bmatrix} I & C^\dagger BF \\ 0 & I \end{bmatrix} \quad (12)$$

which leads to

$$MM^* = \begin{bmatrix} I & 0 \\ BA^\dagger & I \end{bmatrix} \begin{bmatrix} AA^* & 0 \\ 0 & CC^* + BFB^* \end{bmatrix} \begin{bmatrix} I & (BA^\dagger)^* \\ 0 & I \end{bmatrix} \quad (13)$$

$$\text{Let } X = \begin{bmatrix} I & -(BA^\dagger)^* \\ 0 & I \end{bmatrix} \begin{bmatrix} x_1 & x_2 \\ x_3 & x_4 \end{bmatrix} \begin{bmatrix} I & C^\dagger BF \\ 0 & I \end{bmatrix}.$$

From  $MM^*X = M$ , it follows

$$\begin{bmatrix} AA^* & 0 \\ 0 & CC^* + BFB^* \end{bmatrix} \begin{bmatrix} x_1 & x_2 \\ x_3 & x_4 \end{bmatrix} = \begin{bmatrix} 0 & A \\ C & 0 \end{bmatrix} \quad (14)$$

Therefore,

$$AA^*x_1 = 0 \quad (15)$$

$$AA^*x_2 = A \quad (16)$$

$$(CC^* + BFB^*)x_3 = C \quad (17)$$

$$(CC^* + BFB^*)x_4 = 0 \quad (18)$$

It is simple to determine that Eqs. (15), (16) and (18) are consistent by Lemma 1. That implies that  $M^\dagger$  exists if and only if Eqs. (9) and (17) are both consistent. By  $EBF = 0$ , we can find that Eq. (17) is equivalent to

$$[I + C^\dagger BF(C^\dagger B)^*]C^*x_3 = C^\dagger C \quad (19)$$

Set  $u = I + C^\dagger BF(C^\dagger B)^*$ . Thus,  $C^\dagger Cu = uC^\dagger C$ .

If  $u$  is invertible, then Eq. (19) is consistent, and  $x_3 = (C^\dagger)^*u^{-1}$  is a solution.

Conversely, assume that Eq. (19) is consistent.

From  $C^\dagger CuC^\dagger CC^*x_3 = C^\dagger C$  and  $u^* = u$ , we obtain  $R(C^\dagger C) = R(C^\dagger CuC^\dagger C)$  and  $R_r(C^\dagger C) = R_r(C^\dagger CuC^\dagger C)$ . By Ref. [8], we find that  $C^\dagger Cu + I - C^\dagger C$  is invertible. Note that  $C^\dagger Cu + I - C^\dagger C = u$ . Hence, it follows that  $u$  is invertible.

By direct computation, it is simple to find that

$$X = \begin{bmatrix} -(u^{-1}C^\dagger BA^\dagger)^* & (A^\dagger)^* - (u^{-1}C^\dagger BA^\dagger)^*C^\dagger BF \\ (u^{-1}C^\dagger)^* & (u^{-1}C^\dagger)^*C^\dagger BF \end{bmatrix}$$

and

$$Y = \begin{bmatrix} -(C^\dagger BA^\dagger v^{-1})^* & (A^\dagger v^{-1})^* \\ (C^\dagger)^* - EBA^\dagger(C^\dagger BA^\dagger v^{-1})^* & EBA^\dagger(A^\dagger v^{-1})^* \end{bmatrix}$$

are the solutions of  $MM^*X = M$  and  $YM^*M = M$ . Using Lemma 2,  $M^\dagger = M^*XY^*(MM^*)^*$ . A direct computation yields  $M^\dagger = \begin{bmatrix} M_1 & M_2 \\ M_3 & M_4 \end{bmatrix}$ , where

$$\begin{aligned} M_1 &= -u^{-1}C^\dagger BA^\dagger v^{-1} \\ M_2 &= u^{-1}C^\dagger [I - BA^\dagger v^{-1}(BA^\dagger)^*E] \\ M_3 &= TA^\dagger v^{-1} \\ M_4 &= TA^\dagger v^{-1}(BA^\dagger)^*E + F(C^\dagger B)^*u^{-1}C^\dagger \\ T &= I - F(C^\dagger B)^*u^{-1}C^\dagger B \end{aligned}$$

**Corollary 1** Suppose that  $M = \begin{bmatrix} 0 & A \\ C & B \end{bmatrix}$  such that  $A^\dagger$ ,  $C^\dagger$  exist and  $B = CC^\dagger B$ . Then  $M^\dagger$  exists if and only if  $u = I + C^\dagger B(I - A^\dagger A)(C^\dagger B)^*$  is invertible.

If  $M$  is Moore-Penrose invertible, then  $M^\dagger = \begin{bmatrix} M_1 & M_2 \\ M_3 & M_4 \end{bmatrix}$ , where

$$\begin{aligned} M_1 &= -u^{-1}C^\dagger BA^\dagger, \quad M_2 = u^{-1}C^\dagger, \quad M_3 = TA^\dagger \\ M_4 &= (I - A^\dagger A)(C^\dagger B)^*u^{-1}C^\dagger \\ T &= I - (I - A^\dagger A)(C^\dagger B)^*u^{-1}C^\dagger B \end{aligned}$$

**Corollary 2** Let  $M = \begin{bmatrix} 0 & A \\ C & B \end{bmatrix}$  with  $A^\dagger$ ,  $C^\dagger$  exist and  $B = BA^\dagger A$ . Then  $M^\dagger$  exists if and only if  $v = I + (BA^\dagger)^*(I - CC^\dagger)BA^\dagger$  is invertible.

If  $M$  is Moore-Penrose invertible, then  $M^\dagger = \begin{bmatrix} M_1 & M_2 \\ M_3 & M_4 \end{bmatrix}$ , where  $M_1 = -C^\dagger BA^\dagger v^{-1}$ ,  $M_2 = C^\dagger [I - BA^\dagger v^{-1}(BA^\dagger)^*E]$ ,  $M_3 = A^\dagger v^{-1}$ ,  $M_4 = A^\dagger v^{-1}(BA^\dagger)^*E$ .

Note that  $I + B^*(I - A^\dagger A)B$  is invertible if and only if  $I + BB^*(I - A^\dagger A)$  is also invertible. Then we have the following result which generalizes the relative results of Ref. [7].

**Corollary 3** Given  $a \in R$  such that  $a^\dagger$  exists and  $b = \{b_1, b_2, \dots, b_n\}^T$ , then the following are equivalent:

- 1) The companion matrix  $M = \begin{bmatrix} 0 & a \\ I_n & b \end{bmatrix}$  is Moore-Penrose invertible.
- 2)  $1 + (1 - a^\dagger a)b^*b(1 - a^\dagger a)$  is a unit of  $R$ .
- 3)  $1 + b^*b(1 - a^\dagger a)$  is a unit of  $R$ .
- 4)  $1 + (1 - a^\dagger a)b^*b$  is a unit of  $R$ .

## 2 Examples

**Example 1** Suppose that  $S = Z_{12}$  and  $R$  is the matrix ring over  $S$  with transposition as the involution, and set  $C = \begin{bmatrix} 4 & 4 \\ 4 & 4 \end{bmatrix}$ ,  $A = \begin{bmatrix} -4 & -4 \\ 0 & 0 \end{bmatrix}$ ,  $B = \begin{bmatrix} -4 & 0 \\ -4 & 0 \end{bmatrix}$  and  $M = \begin{bmatrix} 0 & A \\ C & B \end{bmatrix}$ . By a direct computation, we have  $C^\dagger = \begin{bmatrix} 4 & 4 \\ 4 & 4 \end{bmatrix}$ ,  $A^\dagger = \begin{bmatrix} -8 & 0 \\ -8 & 0 \end{bmatrix}$  and  $CC^\dagger B = B$ . It is simple to find that  $u = I_2$ , then  $M^\dagger$  exists, and using Theorem 4 or

$$\text{Corollary 5, we obtain } M^\dagger = \begin{bmatrix} -4 & 0 & 4 & 4 \\ -4 & 0 & 4 & 4 \\ -8 & 0 & 0 & 0 \\ 0 & 0 & -8 & -8 \end{bmatrix}.$$

**Example 2** Consider the complex matrix ring  $R$  with transposition as the involution, and set  $M = \begin{bmatrix} 0 & A \\ C & B \end{bmatrix}$ ,

$$\text{where } A = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \quad B = \begin{bmatrix} 1 & 0 \\ 1 & 0 \end{bmatrix} \text{ and } C = \begin{bmatrix} 1-i & i \\ 1-i & i \end{bmatrix}.$$

It is simple to find that  $CC^*X = C$  and  $YC^*C = C$ ,

where  $X = \begin{bmatrix} -1 & 0 \\ \frac{1}{5}(6+3i) & -\frac{1}{5}(2+i) \end{bmatrix}$  and  $Y = \begin{bmatrix} 1 & 1+\frac{1}{2}i \\ 0 & -\frac{1}{2}i \end{bmatrix}$ . Clearly,  $C^2 = C$  is regular. Then by Lemma 2,  $C^\dagger = C^*XY^*(CC^*)^*$ , then we have  $C^\dagger = \frac{1}{10} \begin{bmatrix} 1+3i & 1+3i \\ -2-i & -2-i \end{bmatrix}$ . By a direct computation, we have  $EBF = 0$  and  $u = I_2$ , then  $M^\dagger$  exists. Using Theorem 1, we can obtain

$$M^\dagger = \begin{bmatrix} 2+6i & 0 & \frac{1}{10}+\frac{3}{10}i & \frac{1}{10}+\frac{3}{10}i \\ -4-2i & 0 & -\frac{1}{5}-\frac{1}{10}i & -\frac{1}{5}-\frac{1}{10}i \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

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# 关于友矩阵的 Moore-Penrose 逆的一个注记

王 龙 陈建龙

(东南大学数学系, 南京 211189)

**摘要:** 假设  $R$  是一个有单位元 1 的结合环. 探讨了  $R$  上分块矩阵 Moore-Penrose 逆的存在性, 得到了环上分块矩阵的 Moore-Penrose 逆存在性的充要条件. 进而, 在  $EBF = 0$  条件下, 其中  $E = I - CC^\dagger$  和  $F = I - A^\dagger A$ , 给出了 Moore-Penrose 逆的表达式  $M = \begin{bmatrix} 0 & A \\ C & B \end{bmatrix}$ . 此结果推广了 Pedro Patrício 关于友矩阵  $M = \begin{bmatrix} 0 & a \\ I_n & b \end{bmatrix}$  的

Moore-Penrose 逆表达式. 作为应用, 给出一些例子验证了所得到的结果.

**关键词:** 友矩阵; Moore-Penrose 逆; 环

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